

BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS

In re KATARINA MICHELLE CUEVAS)	Financial Disclosure Appeal No. FD 19-094
))	
Appellant.)	Final Order No. XXX
_____)	

FINAL ORDER

This matter came before the Commission on Ethics on its own motion during its meeting in public session on October 23, 2026, pursuant to Section 112.3145(8)(g), Florida Statutes, (subsequently renumbered to Section 112.3145(8)(f)) which assesses an automatic fine of \$25 per day on a person who fails to timely file a required CE Form 1, Statement of Financial Interests. The Commission may waive the fine in whole or in part for good cause shown, based on "unusual circumstances" surrounding the failure to file by the designated date. There are no matters in dispute. Appellant did not request a hearing.

Findings of Fact

1. According to information provided to the Commission, Appellant served as an OPS Undergraduate IT Support employee for the Florida Auditor General, a position requiring the filing of a 2018 CE Form 1, Statement of Financial Interests. In 2019, the designated due date for submitting a 2018 CE Form 1 was July 1, 2019, with a grace period ending on September 1, 2019.
2. No later than June 1, 2019, the Commission on Ethics sent Appellant a 2018 CE Form 1. This was sent to Appellant at 228 Dixie Drive, Apt. 1505, Tallahassee, FL 32304.
3. No later than August 1, 2019, the Commission on Ethics sent Appellant a notice of delinquency by certified mail. This notice was sent to Appellant at 228 Dixie Drive, Apt. 1505.

4. On September 6, 2019, the Commission on Ethics mailed Appellant a courtesy notice of fines accruing. This notice was sent to 228 Dixie Drive, Apt. 1505.

5. On February 10, 2020, the Commission mailed appellant a Notice of Assessed fine. The notice was sent by mail to the 228 Dixie Drive address. It indicated the total of Appellant's accrued automatic fine was \$1,500 (\$25 per day for up to days late) and provided that the Commission must receive an appeal of the fine by March 11, 2020.

6. On September 27, 2022, the Commission on Ethics received Appellant's 2018 CE Form 1.

7. On September 26, 2022, the Commission received Appellant's appeal of her automatic fine for Form Year 2018. In part B of her appeal form, Appellant checked "Lack of notification – Failure to receive notice" as the general reason for her appeal. In part C of her appeal form, where an appellant is asked to provide detailed explanation of his or her appeal, Appellant wrote that the notices were sent to an old address and therefore Appellant did not receive the notices from the Commission.

Conclusions of Law

8. The Commission has jurisdiction over the subject matter of this proceeding pursuant to Section 112.3145, Florida Statutes.

9. Financial disclosure is required of public officials and employees because it enables the public to evaluate potential conflicts of interest, deters corruption, and increases public confidence in government.

10. Section 112.3145(8)(g)2., Florida Statutes, (subsequently renumbered to Section 112.3145(8)(f)(2)) states:

Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the

designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be in writing and received by the commission within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission. For purposes of this subparagraph, the term "unusual circumstances" does not include the failure to monitor an e-mail account or failure to receive notice if the person has not notified the commission of a change in his or her e-mail address.

11. Pursuant to Rule 34-8.215(4), F.A.C., "Unusual circumstances" means uncommon, rare or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements. Circumstances which allow for time in which to take those steps necessary to ensure compliance with the filing requirements shall be deemed not to constitute unusual circumstances.

12. Here, Appellant stated that she did not receive the notices sent by the commission because the notices were sent to her old address. Considering Appellant's claims, and that there is no evidence showing otherwise, there are "unusual circumstances" here that prevented the timely filing of the financial disclosure form that justify waiving the \$1,500 fine.

Order

Based on the foregoing facts and conclusions of law, the Commission hereby finds that unusual circumstances for failure to timely file have been demonstrated. We therefore waive the assessed fine of \$1,500.

ORDERED by the State of Florida Commission on Ethics meeting in public session on
October 23, 2026.

Date Rendered

Jeremy M. Rodgers
Chair, Florida Commission on Ethics

THIS ORDER CONSTITUTES FINAL AGENCY ACTION. ANY PARTY WHO IS ADVERSELY AFFECTED BY THIS ORDER HAS THE RIGHT TO SEEK JUDICIAL REVIEW UNDER SECTION 120.68, AND SECTION 112.3241, FLORIDA STATUTES, BY FILING A NOTICE OF ADMINISTRATIVE APPEAL PURSUANT TO RULE 9.110 FLORIDA RULES OF APPELLATE PROCEDURE, WITH THE CLERK OF THE COMMISSION ON ETHICS, AT EITHER 325 JOHN KNOX ROAD, BUILDING E, SUITE 200, TALLAHASSEE, FLORIDA 32303 OR P.O. DRAWER 15709, TALLAHASSEE, FLORIDA 32317-5709; AND BY FILING A COPY OF THE NOTICE OF APPEAL ATTACHED TO WHICH IS A CONFORMED COPY OF THE ORDER DESIGNATED IN THE NOTICE OF APPEAL ACCOMPANIED BY THE APPLICABLE FILING FEES WITH THE APPROPRIATE DISTRICT COURT OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

JMR: jfh

Katarina Michelle Cuevas
3815 Arcade Trl.
Apt. 305
Lutz, FL 33548



19-094

276110

**STATE OF FLORIDA
COMMISSION ON ETHICS**

325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303
Telephone: (850) 488-7864
Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

**FLORIDA
COMMISSION ON ETHICS**

SEP 26 2011

RECEIVED

APPEAL OF AUTOMATIC FINE FOR FORM YEAR 2018

DIRECTIONS: The information you provide in this form is critical for processing your appeal in a timely manner.

In Part A, please provide current contact information. If your contact information changes while your appeal is being processed, please notify us.

In Part B, please check any boxes that specify the general reason(s) for your appeal.

In Part C, please explain in detail the reason(s) for your appeal. In addition to your written explanation in Part C, you may attach any documents that support your appeal.

IMPORTANT: TO PRESERVE YOUR RIGHT TO APPEAL, THIS FORM OR OTHER WRITTEN APPEAL (AND ANY ATTACHMENTS) MUST BE FILED WITH (RECEIVED BY) THE COMMISSION ON ETHICS WITHIN THIRTY (30) DAYS OF THE DATE THE NOTICE OF ASSESSMENT OF AUTOMATIC FINE WAS MAILED TO YOU.

PLEASE SEND YOUR COMPLETED FORM TO ONE OF THE FOLLOWING:

Mailing Address: Commission on Ethics
P.O. Drawer 15709
Tallahassee, FL 32317-5709

Physical Address: Commission on Ethics
325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

PART A: YOUR INFORMATION

Name: Katarina Cuevas

Address: 3815 Arcade Trl Apt. 305 City: Lutz State: FL Zip: 33548

Daytime Tel.: (954) 304-0348 Cell: (954) 304-0348

Email: katarinacuevas@outlook.com Filer ID# (if known): _____

Public Employer: Florida Auditor General

Public Position: UPS Undergraduate IT Support

CONTINUED ON REVERSE SIDE

PART B: GENERAL REASON(S) FOR YOUR APPEAL

Please choose any/all reasons that apply to your appeal.

I hereby appeal the Notice of Assessment of Automatic Fine on the following basis:

- a. ☐ **Sickness or injury** (Explain in Part C and attach a statement from attending physician, including dates and nature of illness or injury)
- b. ☒ **Lack of notification – Failure to receive notice** (Explain in Part C and provide documentation that supports your assertion that you never received certified mail delinquency notice: for example, incorrect address; misdelivered mail; change in employment; extended absence from home, etc.)
- c. ☐ **Claim of timely filing of financial disclosure** (Explain in Part C and provide copy of certified mail receipt and/or copy of completed form which had been previously filed, along with a sworn notarized statement that you filed prior to the deadline)
- d. ☐ **Left public position prior to December 31, 2018** (Explain in Part C and provide confirmation from agency that your office-holding/employment ended before 12/31/2018)
- e. ☐ **Other unusual circumstance** (Explain in Part C and provide documentation explaining uncommon, rare, or sudden occurrence that prevented timely filing prior to deadline)
- f. ☐ **Not required to file** (Explain in Part C and provide documentation that supports reason for not required to file)

PART C: DETAILED EXPLANATION OF YOUR APPEAL

Please provide a detailed explanation of your appeal, including why each option you selected in Part B is applicable to you. You may use the space provided and/or attach additional pages.

I did not receive notice of this fine. After speaking with the office manager, the address that is on file is a very old address, one I have not lived at in the past 5 years. Please let me know if there's any additional documentation needed to process the appeal.

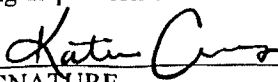
OPTIONAL REQUEST FOR HEARING

☐ In addition to this written appeal, I specifically request to appear before the Commission in a hearing pursuant to Section 112.3144(8)(f)3 or Section 112.3145(8)(g)3, Florida Statutes. Commission meetings occur in Tallahassee.

SIGNATURE

I have received and read the Notice of Assessment of Automatic Fine and its instructions on How to Appeal and I understand my options. I am requesting disposition of this matter as indicated.

9/26/22
DATE


SIGNATURE

FORM 1**STATEMENT OF
FINANCIAL INTERESTS****2018**Please print or type your name, mailing
address, agency name, and position below:

FOR OFFICE USE ONLY:

LAST NAME -- FIRST NAME -- MIDDLE NAME :
Cuevas Katarina MichelleMAILING ADDRESS :
228 Dixie Dr

APT 1505

CITY : ZIP : COUNTY :
Tallahassee 32304 LeonNAME OF AGENCY :
FL Auditor GeneralNAME OF OFFICE OR POSITION HELD OR SOUGHT :
OPS Undergraduate IT Support

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

CHECK ONLY IF ☐ CANDIDATE OR ☒ NEW EMPLOYEE OR APPOINTEEFLORIDA
COMMISSION ON ETHICS

SEP 27 2018

RECEIVED

276110
PROCESSED**** **BOTH PARTS OF THIS SECTION MUST BE COMPLETED** ******DISCLOSURE PERIOD:**

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one):

☒ DECEMBER 31, 2018 OR ☐ SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR: _____**MANNER OF CALCULATING REPORTABLE INTERESTS:**

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

☐ COMPARATIVE (PERCENTAGE) THRESHOLDS OR ☒ DOLLAR VALUE THRESHOLDS**PART A -- PRIMARY SOURCES OF INCOME** [Major sources of income to the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY
Target	7000 Target Pkwy N, Minneapolis, MN	Retail
THP	1857 W Pensacola St, Tallahassee, FL	Property Management

PART B -- SECONDARY SOURCES OF INCOME

[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE
N/A	N/A	N/A	N/A

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

N/A

FILING INSTRUCTIONS for when
and where to file this form are
located at the bottom of page 2.**INSTRUCTIONS** on who must file
this form and how to fill it out
begin on page 3.

PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions]
(If you have nothing to report, write "none" or "n/a")

TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES
N/A	N/A

PART E — LIABILITIES [Major debts - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF CREDITOR	ADDRESS OF CREDITOR
EdFinancial (Federal Student Loans)	P.O Box 36008 Knoxville, TN 37930-6008

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions]
(If you have nothing to report, write "none" or "n/a")

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2
NAME OF BUSINESS ENTITY	N/A	N/A
ADDRESS OF BUSINESS ENTITY	N/A	N/A
PRINCIPAL BUSINESS ACTIVITY	N/A	N/A
POSITION HELD WITH ENTITY	N/A	N/A
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS	N/A	N/A
NATURE OF MY OWNERSHIP INTEREST	N/A	N/A

PART G — TRAINING

For **elected municipal officers** required to complete annual ethics training pursuant to section 112.3142, F.S.



I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐

SIGNATURE OF FILER:

Signature:

Katarina M Cuevas

Date Signed:

9/27/2022

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: _____

Date Signed: _____

FILING INSTRUCTIONS:

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics, it will be returned.

State officers or specified state employees who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format) and send it to CEForm1@leg.state.fl.us. Do not file by both mail and email. Choose only one filing method. Form 6s will not be accepted via email.

Candidates file this form together with their filing papers.

MULTIPLE FILING UNNECESSARY: A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

WHEN TO FILE: Initially, each local officer/employee, state officer, and specified state employee must file **within 30 days** of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

Candidates must file at the same time they file their qualifying papers.

Thereafter, file by July 1 following each calendar year in which they hold their positions.

Finally, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2018.

Mail Piece Details



[Print this page](#)

Recipient Address

KATARINA MICHELLE CUEVAS
228 DIXIE DR APT 1505
TALLAHASSEE, FL 32304-7005

Record / Case Number:
276110

Return Address

STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709

Entry Point ZIP:
32317

Mail Piece Information

Tracking Number: 92148901066154000140809293

Date Created: 07/23/2019 02:10:34 PM

Mail Class: USPS First Class Mail

Special Services: Certified Mail
Return Receipt Electronic

Memo: --

Created By: Kimberly Holmes - Commission on Ethics

Signature Information

Signed For By: KATARINA MICHELLE CU

Signature Status: Available ([Click Here](#))

Having issues viewing the signature file?

Make sure you are using the latest version of Adobe Acrobat Reader

Tracking Information

Mailed, July 23, 2019, 02:10:34 PM, TALLAHASSEE,FL 32317

Pre-Shipment Info Sent To Usps, Usps Awaiting Item, July 23, 2019, 12:00:00 AM

Pre-Shipment Info Sent Usps Awaits Item, July 23, 2019, 01:36:00 PM, TALLAHASSEE,FL 32317

Accepted At Usps Origin Facility, July 24, 2019, 05:39:00 PM, TALLAHASSEE,FL 32317

Origin Acceptance, July 24, 2019, 05:39:00 PM, TALLAHASSEE,FL 32317

Arrived At Usps Regional Facility, July 24, 2019, 06:54:00 PM

Processed Through Usps Facility, July 24, 2019, 06:54:00 PM, TALLAHASSEE,FL 32301

Depart Usps Facility, July 24, 2019, 09:33:00 PM, TALLAHASSEE,FL 32301

Departed Usps Regional Facility, July 25, 2019, 01:15:00 AM

Processed Through Usps Facility, July 25, 2019, 01:15:00 AM, TALLAHASSEE,FL 32301

Arrival At Unit, July 25, 2019, 07:11:00 AM, TALLAHASSEE,FL 32304

Sorting/Processing Complete, July 25, 2019, 08:26:00 AM, TALLAHASSEE,FL 32304

Out For Delivery, July 25, 2019, 08:36:00 AM, TALLAHASSEE,FL 32304

Delivered Front Desk/Reception/Mail Room, July 25, 2019, 01:59:00 PM, TALLAHASSEE,FL 32304

Processed Through Usps Facility, August 08, 2019, 10:19:00 AM, JACKSONVILLE,FL 32203

Depart Usps Facility, August 08, 2019, 02:06:00 PM, JACKSONVILLE,FL 32203

Processed Through Usps Facility, August 09, 2019, 10:18:00 AM, TALLAHASSEE,FL 32301

Processed Through Usps Facility, August 09, 2019, 08:24:00 PM, TALLAHASSEE,FL 32301

Delivered, August 14, 2019, 10:52:00 AM, TALLAHASSEE,FL 32308

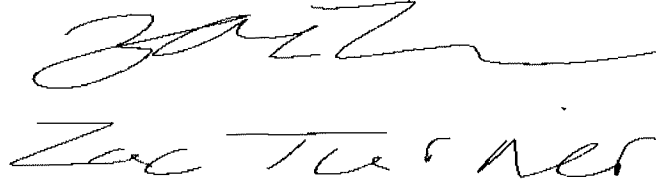


Date Produced: 08/15/2019

THE MAIL GROUP INC - 1 / CONFIRM DELIVERY INC:

The following is the delivery information for Certified Mail™/RRE item number 9214 8901 0661 5400 0140 8092 93. Our records indicate that this item was delivered on 08/14/2019 at 10:52 a.m. in TALLAHASSEE, FL 32308-9998. The scanned image of the recipient information is provided below.

Signature of Recipient :

A handwritten signature in black ink that reads "Zac Turner".

Address of Recipient :

A handwritten address in black ink that reads "15709".

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,
United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

The customer reference information shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

Reference ID: 92148901066154000140809293
276110
KATARINA MICHELLE CUEVAS
228 Dixie Dr Apt 1505
Tallahassee, FL 32304-7005

**BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS**

In re **Katarina Michelle Cuevas**
 OPS
 Employees
 Auditor General

PID#: 276110

NOTICE OF ASSESSMENT OF AUTOMATIC FINE

The Commission on Ethics hereby gives notice of an assessment of a fine against you pursuant to Section 112.3145(8)(g), Florida Statutes, due to your failure to timely file your 2018 CE Form 1, Statement Of Financial Interests. Under the law, your 2018 CE Form 1, Statement of Financial Interests, was due by July 1, 2019. The law provided for a penalty-free grace period extending the due date to September 3, 2019. After that date, you accrued fines of \$25.00 per day for each day your financial disclosure was late, up to the maximum fine of \$1,500.00 (60 days late), pursuant to Section 112.3145(8)(g), Florida Statutes.

Inasmuch as your 2018 CE Form 1 has not been filed with the Commission on Ethics within the 60 days of the grace period date (September 3, 2019), you have accrued the maximum fine amount of \$1,500.00. This fine must be paid to the Commission on Ethics within 30 days of the date of this notice unless you appeal the fine to the Commission. The Commission has the authority to consider the appeal and waive the fine in whole or in part if your failure to file on time was due to "unusual circumstances" surrounding the failure to file. Unless the fine is successfully appealed, the Commission is required to investigate public officers and employees who receive the maximum \$1,500 fine, to determine whether their failure to file was willful. The penalty for willfully failing to file disclosure is removal from public office or employment.

HOW TO APPEAL

1. Read these instructions carefully before submitting your appeal.
2. **LEGAL AUTHORITY:** Appeals are governed by Section 112.3145(8)(g)3, Florida Statutes, and Commission Rule 34-8.215, Florida Administrative Code.
3. **FORMAT:** Your appeal must be in writing and mailed to Florida Commission on Ethics, P. O. Drawer 15709, Tallahassee, FL 32317-5709, or delivered to Florida Commission on Ethics, 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. The appeal may take the form of a letter or you may use the appeal form included in this mailing. The appeal form also is available at the Commission's website: www.ethics.state.fl.us. Click on "Financial Disclosure" and then the link to the sample appeal form.
4. **DUE DATE:** Your appeal must be received by the Commission on Ethics on or before **March 11, 2020**.
NOTE: Failure to timely file an appeal will constitute a waiver of your right to appeal and will result in the entry of a default order against you.
5. **UNUSUAL CIRCUMSTANCES:** An appeal must demonstrate that you submitted your CE Form 1 after the extended due date because of "unusual circumstances." "Unusual circumstances" is defined in Commission Rule 34-8.215(4), Florida Administrative Code, as "uncommon, rare, or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements." Therefore, circumstances that allowed for time to take steps necessary to file on time do not constitute "unusual circumstances" that will allow the Commission to waive the fine. You have the burden to establish "unusual circumstances." Your appeal must specifically state the circumstances that led to your not filing by September 3, 2019, and must include any documentation or evidence supporting your appeal, such as:
 - a. **SICKNESS/INJURY:** a statement from attending physician, including dates and nature of the illness or injury;
 - b. **LACK OF NOTICE (WRONG ADDRESS):** documentation that you did not reside at the address to which notice was sent;

- c. **LACK OF NOTICE (ABSENCE FROM HOME):** documentation establishing the period of time of your absence covering the notification period;
 - d. **CLAIM OF TIMELY FILING OF FINANCIAL DISCLOSURE:** (1) an affidavit from you attesting under oath or affirmation that you filed your financial disclosure and your recollection of when and how you filed and (2) a copy of a certified mail receipt and/or a copy of the completed form which was filed. If you have witnesses to your filing, we also will need an affidavit from each witness. **NOTE:** A claim of having filed the CE Form 1F for the current year does not satisfy the CE Form 1 filing requirement or excuse a late filing;
 - e. **LEFT PUBLIC POSITION BEFORE DECEMBER 31, 2018:** confirmation of your last date of office or employment by your former agency, showing the last date to be before December 31, 2018;
or
 - f. **UNCLAIMED CERTIFIED MAIL:** if delinquency notice was addressed correctly but not received, you must explain why.
6. **YOUR RIGHT TO A HEARING:** You have the right to have your appeal heard by the Commission and to appear before the Commission at the hearing, but, to exercise this right, you must specifically request a hearing in your appeal. If you do not request a hearing, you will waive your right to a hearing, the Commission will determine the outcome of your appeal based upon the written record (including the documentation you provide and any documentation in your case file), and you will receive no further notice until after the Commission decides your appeal.

FAILURE TO PAY FINE OR FILE APPEAL WITHIN 30 DAYS

If you do not timely file an appeal or pay the assessed fine within 30 days of this Notice, a default order will be entered against you and the Commission will take the steps provided by law to collect the fine, including:

- Referral to the CFO of the Department of Financial Services, if you are a salaried state officer or employee, for withholding of a portion of your salary until the fine is satisfied; or
- Referral to your agency's governing body for withholding of a portion of your salary until the fine is satisfied;
- Referral to a collection agency, which can seek garnishment of your wages; and/or
- An additional civil penalty, not limited by this automatic fine, may be imposed if your disclosure statement is filed more than 60 days late and a complaint is filed against you pursuant to Section 112.324, Florida Statutes.

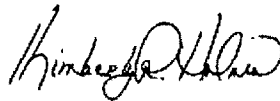
Please contact our office if you have any questions about this matter.

CERTIFICATE OF MAILING

I certify that a copy of the foregoing Notice of Assessment of Automatic Fine was furnished to:

**Katarina Michelle Cuevas
228 Dixie Drive APT 1505
Tallahassee, FL 32304**

by Certified Mail on this Monday, February 10, 2020.



KIMBERLY R. HOLMES
Program Administrator

Florida Commission on Ethics
P. O. Drawer 15709
Tallahassee, FL 32317-5709

-or-

Florida Commission on Ethics
325 John Knox Road, Building E, Ste. 200
Tallahassee, FL 32303

Tel.: (850) 488-7864
Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

FORM 1**STATEMENT OF
FINANCIAL INTERESTS****2018****FOR OFFICE USE ONLY:**

Katarina Michelle Cuevas
OPS
Auditor General
Employees
228 Dixie Drive APT 1505
Tallahassee FL 32304



276110

Cuevas Katarina Michelle

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

CHECK ONLY IF ☐ CANDIDATE OR ☐ NEW EMPLOYEE OR APPOINTEE****** BOTH PARTS OF THIS SECTION MUST BE COMPLETED ********DISCLOSURE PERIOD:**

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one):

☐ DECEMBER 31, 2018 OR ☐ SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR: _____**MANNER OF CALCULATING REPORTABLE INTERESTS:**

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

☐ **COMPARATIVE (PERCENTAGE) THRESHOLDS** OR ☐ **DOLLAR VALUE THRESHOLDS****PART A -- PRIMARY SOURCES OF INCOME** [Major sources of income to the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY

PART B -- SECONDARY SOURCES OF INCOME

[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

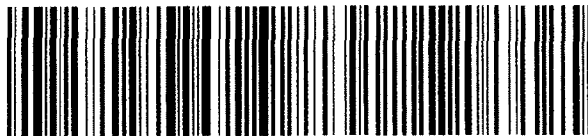
PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]

(If you have nothing to report, write "none" or "n/a")

FILING INSTRUCTIONS for when
and where to file this form are
located at the bottom of page 2.**INSTRUCTIONS** on who must file
this form and how to fill it out
begin on page 3.



STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709



9214 8901 0661 5400 0148 1863 65

RETURN RECEIPT (ELECTRONIC)

276110

KATARINA MICHELLE CUEVAS
228 DIXIE DR APT 1505
TALLAHASSEE, FL 32304-7005

18

URGENT - Open
Immediately!

CUT / FOLD HERE

Zone 1

6"X9" ENVELOPE
CUT / FOLD HERE

CUT / FOLD HERE

Mail Piece Details

 [Print this page](#)

Recipient Address

KATARINA MICHELLE CUEVAS
228 DIXIE DR APT 1505
TALLAHASSEE, FL 32304-7005

Record / Case Number:
276110

Return Address

STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709

Entry Point ZIP:
32317

Mail Piece Information

Tracking Number: 92148901066154000148186365
Date Created: 02/10/2020 11:51:56 AM
Mail Class: USPS First Class Mail
Special Services: Certified Mail
Return Receipt Electronic
Memo: --
Created By: Kimberly Holmes - Commission on Ethics

Signature Information

Signed For By: KATARINA MICHELLE CU
Signature Status: Available ([Click Here](#))

*Having issues viewing the signature file?
Make sure you are using the latest version of Adobe Acrobat Reader*

Tracking Information

Mailed, February 10, 2020, 11:51:56 AM, TALLAHASSEE,FL 32317
Pre-Shipment Info Sent To Usps, Usps Awaiting Item, February 10, 2020, 12:00:00 AM
Pre-Shipment Info Sent Usps Awaits Item, February 10, 2020, 11:03:00 AM, TALLAHASSEE,FL 32317
Accepted At Usps Origin Facility, February 10, 2020, 07:34:00 PM, TALLAHASSEE,FL 32317
Origin Acceptance, February 10, 2020, 07:34:00 PM, TALLAHASSEE,FL 32317
Arrived At Usps Regional Facility, February 10, 2020, 08:49:00 PM
Processed Through Usps Facility, February 10, 2020, 08:49:00 PM, TALLAHASSEE,FL 32301
Departed Usps Regional Facility, February 10, 2020, 11:19:00 PM
Depart Usps Facility, February 10, 2020, 11:19:00 PM, TALLAHASSEE,FL 32301
In Transit To Next Facility, February 11, 2020, 12:00:00 AM
Processed Through Usps Facility, February 11, 2020, 11:36:00 PM, TALLAHASSEE,FL 32301
Processed Through Usps Facility, February 11, 2020, 11:40:00 PM, TALLAHASSEE,FL 32301
Departed Usps Regional Facility, February 12, 2020, 01:20:00 AM
Processed Through Usps Facility, February 12, 2020, 01:20:00 AM, TALLAHASSEE,FL 32301
Delivered Left With Individual, February 12, 2020, 11:54:00 AM, TALLAHASSEE,FL 32304
Processed Through Usps Facility, February 15, 2020, 11:43:00 AM, JACKSONVILLE,FL 32203
Depart Usps Facility, February 16, 2020, 12:09:00 AM, JACKSONVILLE,FL 32203
Processed Through Usps Facility, February 16, 2020, 03:08:00 PM, TALLAHASSEE,FL 32301
Processed Through Usps Facility, February 17, 2020, 02:56:00 PM, TALLAHASSEE,FL 32301
Unclaimed/Being Returned To Sender, March 10, 2020, 09:37:00 AM, TALLAHASSEE,FL 32318
Processed Through Usps Facility, March 24, 2020, 12:44:00 PM, JACKSONVILLE,FL 32203
Processed Through Usps Facility, March 25, 2020, 09:06:00 AM, TALLAHASSEE,FL 32301
Processed Through Usps Facility, March 25, 2020, 07:22:00 PM, TALLAHASSEE,FL 32301



Date Produced: 02/13/2020

THE MAIL GROUP INC - 1 / CONFIRM DELIVERY INC:

The following is the delivery information for Certified Mail™/RRE item number 9214 8901 0661 5400 0148 1863 65. Our records indicate that this item was delivered on 02/12/2020 at 11:54 a.m. in TALLAHASSEE, FL 32304. The scanned image of the recipient information is provided below.

Signature of Recipient :

A handwritten signature in black ink, appearing to read "Keenan Williams".

Keenan Williams

Address of Recipient :

228 Dixie

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,
United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

The customer reference information shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

Reference ID: 92148901066154000148186365
276110
KATARINA MICHELLE CUEVAS
228 Dixie Dr Apt 1505
Tallahassee, FL 32304-7005

JoAnne Leznoff
Chair
John Grant
Vice Chair
Michelle Anchors
Antonio Carvajal
Travis Cummings
Don Gaetz
Glenton "Glen" Gilzean, Jr.
William "Willie" N. Meggs
Jim Waldman



State of Florida
COMMISSION ON ETHICS
P.O. Drawer 15709
Tallahassee, Florida 32317-5709

325 John Knox Road
Building E, Suite 200
Tallahassee, Florida 32303

C. Christopher Anderson, III
*Executive Director/
General Counsel*

Kerrie J. Stillman
Deputy Executive Director

(850) 488-7864 Phone
(850) 488-3077 (FAX)
www.ethics.state.fl.us

"A Public Office is a Public Trust"

**FINAL NOTICE OF ASSESSMENT OF AUTOMATIC FINE FOR FAILURE TO
TIMELY FILE FORM 1, STATEMENT OF FINANCIAL INTERESTS**

TO: Katarina Michelle Cuevas **276110**
Auditor General
Employees
FROM: Kimberly R. Holmes, Program Administrator
DATE: June 11, 2021

On February 10, 2020, we notified you that your Form 1, Statement of Financial Interests, for the year 2018 was not filed and that you therefore have been assessed the maximum fine, for a total fine of \$1500. At that time, you were advised that you had 30 days from the date that letter was transmitted in which to either pay the fine or to appeal the fine to the Commission on Ethics.

It has been more than 30 days since we sent you that letter, and you have neither paid the fine nor filed an appeal. By not responding, you have waived your right to appeal the assessed fine.

If you do not pay the assessed fine by July 12, 2021 (30 days from the date of this letter) or contact our office to make arrangements for payment of the fine, the Commission on Ethics will enter an order setting your fine at \$1500 and will utilize all methods allowed by law to collect this fine including referral to a collection agency which can seek to garnish your wages.

Certificate of Mailing:

I certify that a copy of the foregoing Final Notice of Assessment of Automatic Fine was furnished to:

Katarina Michelle Cuevas **276110**
228 Dixie Drive
APT 1505
Tallahassee FL 32304

By United States Mail on this Friday, June 11, 2021.

A handwritten signature in black ink, appearing to read "Kimberly R. Holmes".

Kimberly R. Holmes
Program Administrator

JoAnne Leznoff
Chair
John Grant
Vice Chair
Michelle Anchors
Antonio Carvajal
Travis Cummings
Don Gaetz
Glenton "Glen" Gilzean, Jr.
William "Willie" N. Meggs
Jim Waldman



State of Florida
COMMISSION ON ETHICS
P.O. Drawer 15709
Tallahassee, Florida 32317-5709

325 John Knox Road
Building E, Suite 200
Tallahassee, Florida 32303

Kerrie J. Stillman
Executive Director

Caroline M. Klancke
*Deputy Executive Director/
General Counsel*

(850) 488-7864 Phone
(850) 488-3077 (FAX)
www.ethics.state.fl.us

"A Public Office is a Public Trust"

**NOTIFICATION OF ISSUANCE OF
DEFAULT FINAL ORDER**

TO: Katarina Michelle Cuevas 276110
OPS
Auditor General
Employees
FROM: Kimberly R. Holmes, Program Administrator
DATE: September 15, 2021

A handwritten signature in black ink, appearing to read "Kimberly R. Holmes", is written over the printed name of the Program Administrator.

Enclosed is an Order issued by the Commission on Ethics at its meeting on September 10, 2021.

If you do not pay the assessed fine by October 18, 2021 (30 days from the date of this letter) or contact our office to make arrangements to pay the fine in installments, the Commission will refer your fine to the Florida Department of Financial Services (DFS) and their contract collection agency—Transworld Systems Inc.—will undertake collecting the fine.

This referral will increase the amount you owe by adding a service fee of 13% of the fine to the balance due, and may affect your credit rating.

If you pay your fine now, you can do so online by credit card. See the enclosed flyer or call us for more information. **Once your fine has been submitted to Collections you will no longer be able to take advantage of our online payment system.**

Failure to pay, or make arrangements to pay, the fine by the above date will also result in a copy of the enclosed Order being provided to your agency head, appointing authority, and presiding officer, as well as to the Florida Bar, if you are an attorney.

If you have any questions, please do not hesitate to contact our office.

Enclosures

**BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS**

In re Katarina Michelle Cuevas,)
)
)
_____)

2018 CE Form 1

DEFAULT FINAL ORDER

This matter comes before the Commission on Ethics for the failure of Katarina Michelle Cuevas to pay the assessed fine. Pursuant to Section 112.3145(8)(g), Florida Statutes, the Florida Commission on Ethics is charged with assessing automatic fines of \$25 per day up to a maximum of \$1500 for persons who are required to file financial disclosure but who either file late, or not at all.

FINDINGS OF FACT

1. Katarina Michelle Cuevas, Auditor General, Employees, was on the list of persons required to file a Statement of Financial Interests for the year 2018.
2. Pursuant to Section 112.3145(8)(g), Florida Statutes, Katarina Michelle Cuevas was mailed a copy of the 2018 CE Form 1 no later than June 1, 2019, and was mailed a delinquency notice by certified mail no later than July 31, 2019. Additional attempts to contact Katarina Michelle Cuevas were also made by Commission staff.
3. However, Katarina Michelle Cuevas did not file a 2018 CE Form 1 by the designated due date. Katarina Michelle Cuevas was sent a notice of payment due advising of the statutory rights to appeal or dispute the automatic fine in a hearing before the Commission if such appeal was received within 30 days of the notice of payment due. No timely appeal was received from Katarina Michelle Cuevas.

4. More than 60 days have elapsed since the notice of payment due was transmitted without Katarina Michelle Cuevas paying the fine.

5. On its own motion, the Commission voted to affirm that a fine in the amount of \$1500 has accrued in this matter.

CONCLUSIONS OF LAW

6. The Commission has jurisdiction over the parties to and subject matter of this case, pursuant to Section 112.3145, Florida Statutes.

7. Katarina Michelle Cuevas's failure to timely appeal the assessed fine constitutes a waiver of the entitlement to an appeal, pursuant to Rule 34-8.215(2), Florida Administrative Code.

ORDER

8. Pursuant to Section 112.3145(8) and 112.31455, Florida Statutes, the Commission on Ethics shall attempt to determine whether Katarina Michelle Cuevas is currently a public officer or public employee receiving salary or wages from a governmental entity. If so, the matter will be referred to either the Chief Financial Officer for the State of Florida or the governing body for Auditor General, as appropriate, for withholding of salary until the debt is satisfied.

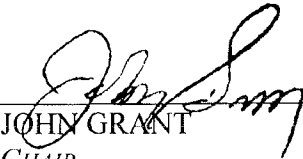
9. If Katarina Michelle Cuevas is no longer a public officer or employee, or if the Commission cannot determine filer's status, the matter will be referred to the Florida Department of Financial Services for collection or wage garnishment.

WHEREFORE, the automatic fine that Katarina Michelle Cuevas accrued in the amount of \$1500 is affirmed. The fine shall be paid to the Florida Commission on Ethics within 30 days

of the date this Default Final Order is entered. After that date, nonpayment will result in collection as provided for in Section 112.3145(8) and 112.31455, Florida Statutes.

ORDERED by the State of Florida Commission on Ethics meeting in public session on September 10, 2021.

September 15, 2021
Date Rendered


JOHN GRANT
CHAIR
FLORIDA COMMISSION ON ETHICS

THIS ORDER CONSTITUTES FINAL AGENCY ACTION. ANY PARTY WHO IS ADVERSELY AFFECTED BY THIS ORDER HAS THE RIGHT TO SEEK JUDICIAL REVIEW UNDER SECTIONS 112.3241 AND 120.68, FLORIDA STATUTES, BY FILING A NOTICE OF ADMINISTRATIVE APPEAL PURSUANT TO RULE 9.110, FLORIDA RULES OF APPELLATE PROCEDURE, WITH THE CLERK OF THE COMMISSION ON ETHICS, BY U.S. MAIL AT P.O. DRAWER 15709, TALLAHASSEE, FLORIDA 32317-5709 (OR BY DELIVERY TO 325 JOHN KNOX ROAD, BUILDING E, SUITE 200, TALLAHASSEE, FLORIDA 32303); AND BY FILING A COPY OF THE NOTICE OF APPEAL ACCOMPANIED BY THE APPLICABLE FILING FEES WITH THE APPROPRIATE DISTRICT COURT OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

Copy Furnished to:

Katarina Michelle Cuevas
228 Dixie Drive
APT 1505
Tallahassee FL 32304

JG/kh

cc: Jackie Brown

Form Year 2018 Filed Forms

FORM TYPE	DATE SUBMITTED	FORM SIGNED	FILED BY EMAIL?	UPDATED BY	COMMENTS
Form 1	09/27/2022	No	Yes	Kimberly Holmes	

Fine Year 2019 Fine Information

FINE BALANCE	STATUS	FINE DATE	ORIGINAL FINE AMOUNT	ADJUSTED FINE AMOUNT	LAST PAYMENT DATE	PAYMENT PLAN START DATE	PAYMENT PLAN AMOUNT	TOTAL PAYMENT PLAN AMOUNT DUE
\$1,500.00	Appeal	02/04/2020	\$1,500.00	\$1,500.00				
Fine Address: 228 Dixie Drive, Tallahassee, FL 32304 Email: Katarina.Cuevas@a-lign.com Org/Suborg: Auditor General - Employees								

Fine Year 2019 Appeal Information

2019 FINE APPEAL - FD 19-094			No Hearing Scheduled		
APPEAL STATUS			Active		
APPEAL RECEIPT DATE			09/26/2022		
APPEAL TIMELY FILED			No		
PRINT APPEAL LETTER					
HEARING REQUESTED			No		
APPEAL REASON			Lack of Notification		
APPEAL NOTES					
APPEAL NUMBER			FD 19-094		
APPEAL ANALYST ASSIGNED					
FINAL ORDER NUMBER					
FINAL ORDER DATE					

Fine Year 2019 Payment Information

DATE POSTED	DESCRIPTION	AMOUNT	METHOD	PAYMENT ID	COMMENT
02/04/2020	Fine Levied	+\$1,500.00			Fined \$1500.00
Current Balance: \$1,500.00					

Fine Year 2019 Event Chronology

DATE	TYPE	DESCRIPTION	ADDRESS/EMAIL	REFERENCE
09/06/2019	Filer Correspondence Letter	Courtesy Notice of Fines Accruing - Courtesy Notice of Fines Accruing	228 Dixie Drive Apartment 1505, Tallahassee, FL 32304 UNKNOWN@email.com	KimberlyHolmes

09/09/2019	Filer Communication Email	From: Prine.Emily Sent: Monday, September 09, 2019 1:38 PM To: 'Prc13@my.fsu.edu' Subject: Financi		admin system
02/04/2020	Fine Levied	Fined \$1500.00		Journal: <u>Fine COE 2020-02-04-04:56</u>
02/10/2020	Filer Correspondence Letter	Notice of Assessed Fine - Filer 1st Fine Letter	228 Dixie Drive Apartment 1505, Tallahassee, FL 32304 kmc14n@my.fsu.edu	Correspondence Queue: <u>2020-02-10 00:00:00</u> Correspondence Sent: 2020-02-10 00:00:00.0000000
02/10/2020	Notice of Assessed Fine	Initial Fine Notice		Journal: <u>Fine1st 2020-02-10-09:22</u>
06/11/2021	Filer Correspondence Letter	Final Notice of Assessed Fine - Second Fine Notice	228 Dixie Drive Apartment 1505, Tallahassee, FL 32304 kmc14n@my.fsu.edu	Correspondence Queue: <u>2021-06-11 00:00:00</u> Correspondence Sent: 2021-06-11 00:00:00.0000000
06/11/2021	Final Notice of Assessed Fine	2nd Fine Notice		Journal: <u>Fine2nd 2021-06-11-09:38</u>
08/24/2021	Collection Orders	Collection Order Notice		Journal: <u>CollectOrd 2021-08-24-10:33</u>
09/15/2021	Filer Correspondence: Letter	Collection Orders - Prepare Collection Orders	228 Dixie Drive Apartment 1505, Tallahassee, FL 32304 kmc14n@my.fsu.edu	Correspondence Queue: <u>2021-09-15 00:00:00</u> Correspondence Sent: 2021-09-15 00:00:00.0000000
09/15/2022	Filer Communication Email	From: Westberry, Diana Sent: Thursday, September 15, 2022 1:16 PM To: 'kmc14n@my.fsu.edu' Subject:		admin system
09/26/2022	Filer Communication: Email	From: Westberry, Diana Sent: Monday, September 26, 2022 3:39 PM To: 'Katarina.Cuevas@align.com' S		admin system
09/26/2022	Filer Communication Email	Ms. Cuevas called back after receiving my email. She claims she was only in the position for 2 mont		admin system
09/26/2022	Filer Communication: Email	From: disclosure Sent: Monday, September 26, 2022 5:49 PM To: 'Katarina Cuevas' Subject: RE: Appea		admin system

09/26/2022	Filer Correspondence Letter	Fine Appeal - Fine Appeal	228 Dixie Drive Apartment 1505, Tallahassee, FL 32304 Katarina.Cuevas@a-lign.com	Correspondence Queue: <u>2022-09-26</u> <u>00:00:00</u> Correspondence Sent: 2022-09-26 00:00:00.0000000 Journal: <u>Appeal 2022-09</u>
09/26/2022	Fine Appeal	FD 19-094		
09/27/2022	Filer Communication Email	From: Katarina Cuevas Sent: Tuesday, September 27, 2022 10:08 AM To: di		Emily Prine

Created Date: 9/27/2022

Type: Email

Created By: Emily E Prine

Description:

From: Katarina Cuevas <katarina.cuevas@a-lign.com>

Sent: Tuesday, September 27, 2022 10:08 AM

To: disclosure <disclosure@leg.state.fl.us>; katarinacuevas@outlook.com

Subject: RE: Appeal of Automatic Fine for Form Year 2018 - Katarina Cuevas

Good morning,

Please see the completed form attached. Please let me know if further information is needed

Thank you,

Katarina Cuevas

Senior Consultant

400 N Ashley Dr #1325, Tampa, FL 33602

T: +1 (888) 702-5446 x993 | M: +1 (954) 304-0348

katarina.cuevas@a-lign.com | www.a-lign.com

Created Date: 9/26/2022

Type: Email

Created By: admin system

Description: From: Westberry, Diana Sent: Monday, September 26, 2022 3:39 PM To: 'Katarina.Cuevas@a-lign.com' Subject: Florida Commission on Ethics Good afternoon, Ms. Cuevas, Please be advised that you currently have a \$1,500.00 fine for the late filing of your Financial Disclosure Form 1 for the year 2018. You can pay this fine one of two ways, online or by mail. To pay online, please go to www.flalegistore.com, click Pay Fines and type in your PID 276110. Only Visa, MasterCard, and Discover are accepted. To pay by mail, please send in a check for \$1,500.00 made payable to Florida Commission on Ethics, P.O. Drawer 15709, Tallahassee, FL 32317-5709. If you would like to set up a payment plan instead, please call or email me back for details. If you have any other questions, please do not hesitate to ask. Thank you, Diana Westberry Office Manager Florida Commission on Ethics Post Office Drawer 15709 Tallahassee, FL 32317-5709 (850) 488-7864

Created Date: 9/26/2022

Type: Email

Created By: admin system

Description: Ms. Cuevas called back after receiving my email. She claims she was only in the position for 2 months and was never notified of the financial disclosure requirement. The address on file is very old and she plans to appeal the \$1,500 fine.

Created Date: 9/26/2022

Type: Other

Created By: admin system

Description: Kim confirmed that filer was in the position from October 2018 to April 2019.

Created Date: 9/26/2022

Type: Email

Created By: admin system

Description: From: disclosure Sent: Monday, September 26, 2022 5:49 PM To: 'Katarina Cuevas' Subject: RE: Appeal of Automatic Fine for Form Year 2018 - Katarina Cuevas Dear Ms. Cuevas: This will acknowledge receipt of your appeal form. Once your appeal has been reviewed, a draft final order is written and then placed on the agenda for one of our scheduled Commission meetings. It may take a few months, as the Commission meets every six weeks. Additionally, please complete the attached 2018 Form 1, Statement of Financial Interests, to accompany your appeal. Upon completion, email as a pdf document to disclosure@leg.state.fl.us If you have any questions or need further assistance, please do not hesitate to contact our office. Sincerely, Kimberly R. Holmes Program Administrator Financial Disclosure Section Florida Commission on Ethics P.O. Drawer 15709 Tallahassee, FL 32317-5709 (850) 488-7864 (850) 488-3077 (Fax) www.ethics.state.fl.us

Created Date: 9/26/2022

Type: Letter

Created By: System

Description: Fine Appeal - Fine Appeal

Created Date: 9/15/2022

Type: Email

Created By: admin system

Description: From: Westberry, Diana Sent: Thursday, September 15, 2022 1:16 PM To: 'kmc14n@my.fsu.edu' Subject: Florida Commission on Ethics Good afternoon, Ms. Cuevas, Please be advised that you currently have a \$1,500.00 fine for the late filing of your Financial Disclosure Form 1 for the year 2018. You can pay this fine one of two ways, online or by mail. To pay online, please go to www.flalegistore.com, click Pay Fines and type in your PID 276110. Only Visa, MasterCard, and Discover are accepted. To pay by mail, please send in a check for \$1,500.00 made payable to Florida Commission on Ethics, P.O. Drawer 15709, Tallahassee, FL 32317-5709. If you would like to set up a payment plan instead, please call or email me back for details. If you have any other questions, please do not hesitate to ask. Thank you, Diana Westberry Office Manager Florida Commission on Ethics Post Office Drawer 15709 Tallahassee, FL 32317-5709 (850) 488-7864

Created Date: 10/19/2021

Type: Other

Created By: admin system

Description: COE memo dated October 19, 2021 states that Ms. Cuevas is no longer in public employment or office. Per Jackie Brown, Auditor General coordinator, Ms. Cuevas left employment on April 26, 2019.

Created Date: 9/15/2021

Type: Letter

Created By: System

Description: Collection Orders - Prepare Collection Orders

Created Date: 6/11/2021

Type: Letter

Created By: System

Description: Final Notice of Assessed Fine - Second Fine Notice

Created Date: 2/10/2020

Type: Letter

Created By: System

Description: Notice of Assessed Fine - Filer 1st Fine Letter

Created Date: 9/9/2019

Type: Email

Created By: admin system

Description: From: Prine,Emily Sent: Monday, September 09, 2019 1:38 PM To: 'Prc13@my.fsu.edu' Subject: Financial Disclosure DELINQUENT Importance: High Based off your service with the Auditor General s office you were required to file a Form 1 2018 by July 1, 2019. A grace period was given until September 3, 2019. To date we have not received your form. You are now being assessed fines at \$25.00 per day up to \$1500.00. I have attached the required form for completion. Form can be scanned and emailed to ceform1@leg.state.fl.us as PDF document. If you have any questions, please let me know. Thank you! Sincerely, Emily E. Prine Program Specialist/Financial Disclosure 850-488-7864 Florida Commission on Ethics Post Office Drawer 15709 Tallahassee, FL 32317-5709

Created Date: 9/6/2019

Type: Letter

Created By: System

Description: Courtesy Notice of Fines Accruing - Courtesy Notice of Fines Accruing

Created Date: 8/29/2019

Type: Other

Created By: admin system

Description: PC returned attempted not known

Created Date: 8/20/2019

Type: Postcard

Created By: System

Description: Courtesy Postcard Reminder - Courtesy Postcard Reminder

Created Date: 8/14/2019

Type: Email

Created By: admin system

Description: From: Brown, Jackie Sent: Wednesday, August 14, 2019 11:15 AM To: Holmes, Kim Subject: FW: Disclosure reminders Kim, Attempts have been made by phone and e-mail to contact the following termed Auditor General Staff. Peter R. Craig Katarina M. Cuevas Omkar Mujumdar Thank you, Jackie Brown Office of Legislative Services, Human Resources Florida Legislature 111 W Madison Street, STE 701 Tallahassee FL 32399-1400 (850) 717-0376, Fax (850) 413-7984

Created Date: 8/14/2019

Type: Letter

Created By: admin system

Description: Del notice returned attempted not known

Created Date: 7/24/2019

Type: Letter

Created By: System

Description: Certified Letter Sent - Certified Letter Sent

Created Date: 5/15/2019

Type: Letter

Created By: System

Description: Form 1 Official List - Form 1 Official Filers List