BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS

In re HUGHENS DOLISCA, ) Financial Disclosure Appeal No. FD 19-002
Appellant. ) Final Order No.

FINAL ORDER

This matter came before the Commission on Ethics, meeting in public session on March 6, 2020, on the timely appeal of Appellant, pursuant to Section 112.3145(8)(g), Florida Statutes, which assesses an automatic fine of $25 per day on a person who fails to timely file a required CE Form 1, Statement of Financial Interests. The Commission may waive the fine in whole or in part for good cause shown, based on "unusual circumstances" surrounding the failure to file by the designated date. There are no matters in dispute. Appellant did not request a hearing before the Commission.

Findings of Fact

1. According to information provided to the Commission, Appellant served as an Assistant General Counsel for the Office of Financial Regulation's Division of Securities, a position requiring the filing of a CE Form 1, Statement of Financial Interests, for the year 2018. In 2019, the designated due date for submitting a 2018 CE Form 1 annual filing was July 1, 2019, with a grace period ending on September 3, 2019.

2. On May 15, 2019, the Commission on Ethics sent Appellant a 2018 CE Form 1. The Form was mailed to Appellant at 137 Ponce De Leon Street, Royal Palm Beach, Florida.
3. On July 23, 2019, the Commission sent Appellant a Notice of Delinquency by certified mail. This Notice was sent to the same address—137 Ponce De Leon Street, Royal Palm Beach—and it was returned as unclaimed and unable to be forwarded.

4. On August 20, 2019, the Commission mailed Appellant a postcard intended to remind him of his obligation to file a 2018 CE Form 1. The Commission mailed the postcard to the 137 Ponce De Leon Street address.

5. On September 5, 2019, a Commission staff member obtained an email address for Appellant and sent him a courtesy email indicating that fines were beginning to accrue as his 2018 CE Form 1 had not been received. Attached to the email was another copy of the 2018 CE Form 1 for him to complete.

6. The following day, September 6, 2019, which three days after the expiration of the grace period, Appellant submitted his 2018 CE Form 1 to the Ethics Commission.

7. The total amount of the automatic fine levied against Appellant was $75.

8. Also on September 6, 2019, the Commission received Appellant's appeal of the automatic fine. In the appeal, Appellant stated he was unable to timely complete and submit the form because of "traveling and communication issues as a result of Hurricane Dorian." Appellant indicated someone telephoned to remind of him of his filing obligation, but he was out-of-state at the time.

9. The Commission sent Appellant a Notice of Assessment of his Automatic Fine on January 23, 2020. The Notice was sent to the 137 Ponce De Leon Street address. It indicated the total of Appellant's accrued automatic fine, and included a notice of the right to appeal the fine, stating that the appeal had to be received by the Commission by February 24, 2020, to be
considered timely. Included with the mailing was an appeal acknowledgment letter indicating the Commission had already received Appellant's appeal.

Conclusions of Law

10. The Commission has jurisdiction over the subject matter of this proceeding pursuant to Section 112.3145, Florida Statutes.

11. Financial disclosure is required of public officials and employees because it enables the public to evaluate potential conflicts of interest, deters corruption, and increases public confidence in government.

12. Section 112.3145(8)(g), Florida Statutes, states:

   Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be in writing and received by the commission within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission. For purposes of this subparagraph, the term "unusual circumstances" does not include the failure to monitor an e-mail account or failure to receive notice if the person has not notified the commission of a change in his or her e-mail address.

13. Here, Appellant claims he failed to timely file his financial disclosure form because he was traveling and experiencing communications issues related to Hurricane Dorian. Hurricane Dorian affected in Florida in early September 2019, coinciding with the expiration of the grace period. Considering the proximity of Hurricane Dorian to the expiration of the grace period, as well as the fact that Appellant did not receive the certified mailing sent in late July 2019, the basis
for the appeal of his fine constitutes an "unusual circumstance" that justifies waiving the $325 fine.¹

**Order**

Based on the foregoing facts and conclusions of law, the Commission hereby waives the assessed fine of $75.

ORDERED by the State of Florida Commission on Ethics meeting in public session on Friday, March 6, 2020.

Date Rendered

Kimberly B. Rezanka  
Chair, Florida Commission on Ethics

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¹ While Appellant also claims telephone notice was provided of his filing obligation, Commission records do not reflect Commission staff placing any phone calls to Appellant, and, in any event, it is unclear whether the phone call was made before the grace period expired so as to provide Appellant with the opportunity to timely file the form.
OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

KBR: gps

Mr. Hughens Dolisca
137 Ponce De Leon Street
Royal Palm Beach, FL 33411
STATE OF FLORIDA
COMMISSION ON ETHICS
325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303
Telephone: (850) 488-7864
Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

APPEAL OF AUTOMATIC FINE FOR FORM YEAR 2018

DIRECTIONS: The information you provide in this form is critical for processing your appeal in a timely manner.

In Part A, please provide current contact information. If your contact information changes while your appeal is being processed, please notify us.

In Part B, please check any boxes that specify the general reason(s) for your appeal.

In Part C, please explain in detail the reason(s) for your appeal. In addition to your written explanation in Part C, you may attach any documents that support your appeal.

IMPORTANT: TO PRESERVE YOUR RIGHT TO APPEAL, THIS FORM OR OTHER WRITTEN APPEAL (AND ANY ATTACHMENTS) MUST BE FILED WITH (RECEIVED BY) THE COMMISSION ON ETHICS WITHIN THIRTY (30) DAYS OF THE DATE THE NOTICE OF ASSESSMENT OF AUTOMATIC FINE WAS MAILED TO YOU.

PLEASE SEND YOUR COMPLETED FORM TO ONE OF THE FOLLOWING:
Mailing Address: Commission on Ethics
P.O. Drawer 15709
Tallahassee, FL 32317-5709

Physical Address: Commission on Ethics
325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303

Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

PART A: YOUR INFORMATION

Name: Hughens Dolisca
Address: 137 Ponce De Leon Street, Royal Palm Beach, FL 33411
Daytime Tel.: (561) 889-2983; Cell: (561) 889-2983
Email: Hughens.Dolisca@myfloridalegal.com
Public Employer: Office of Financial Regulation, Division of Securities
Public Position: Assistant General Counsel

CONTINUED ON REVERSE SIDE
PART B: GENERAL REASON(S) FOR YOUR APPEAL

Please choose any/all reasons that apply to your appeal.

I hereby appeal the Notice of Assessment of Automatic Fine on the following basis:

a. [ ] Sickness or injury (Explain in Part C and attach a statement from attending physician, including dates and nature of illness or injury)

b. [ ] Lack of notification – Failure to receive notice (Explain in Part C and provide documentation that supports your assertion that you never received certified mail delinquency notice: for example, incorrect address; misdelivered mail; change in employment; extended absence from home, etc.)

c. [ ] Claim of timely filing of financial disclosure (Explain in Part C and provide copy of certified mail receipt and/or copy of completed form which had been previously filed, along with a sworn notarized statement that you filed prior to the deadline)

d. [ ] Left public position prior to December 31, 2018 (Explain in Part C and provide confirmation from agency that your office-holding/employment ended before 12/31/2018)

e. [ ] Other unusual circumstance (Explain in Part C and provide documentation explaining uncommon, rare, or sudden occurrence that prevented timely filing prior to deadline)

f. [ ] Not required to file (Explain in Part C and provide documentation that supports reason for not required to file)

PART C: DETAILED EXPLANATION OF YOUR APPEAL

Please provide a detailed explanation of your appeal, including why each option you selected in Part B is applicable to you. You may use the space provided and/or attach additional pages.

I received telephone notice of my requirement to complete the form while I was out of state.

I was unable to complete and submit the form due to traveling and communication issues as a result of Hurricane Dorian.

OPTIONAL REQUEST FOR HEARING

[ ] In addition to this written appeal, I specifically request to appear before the Commission in a hearing pursuant to Section 112.3144(8)(f)3 or Section 112.3145(8)(g)3, Florida Statutes. Commission meetings occur in Tallahassee.

SIGNATURE

I have received and read the Notice of Assessment of Automatic Fine and its instructions on How to Appeal and I understand my options. I am requesting disposition of this matter as indicated.

09/06/2019
DATE
Hughens Dolisca
SIGNATURE
Appeal was received. The appeal process can be lengthy and takes a significant amount of time for a determination to be reached.

If during the process, you would like to check the status or have questions, please email disclosure@leg.state.fl.us

You will be notified in writing once a determination is made.

Thank you!

Sincerely,
Emily E. Prine
Program Specialist/Financial Disclosure
850-488-7864
Florida Commission on Ethics
Post Office Drawer 15709
Tallahassee, FL 32317-5709

From: Hughens Dolisca <Hughens.Dolisca@myfloridalegal.com>
Sent: Friday, September 06, 2019 10:38 AM
To: COE-Form1 <ceform1@leg.state.fl.us>
Subject: RE: Hughens Dolisca Financial Disclosure Form

Please find enclosed the Fine Appeal form.

Thank you,

Hughens Dolisca
Assistant Attorney General
Office of the Attorney General
Consumer Protection Division
From: COE-Form1 <ceform1@leg.state.fl.us>
Sent: Friday, September 6, 2019 9:22 AM
To: Hughens Dolisca <Hughens.Dolisca@myfloridalegal.com>
Subject: RE: Hughens Dolisca Financial Disclosure Form

Attached is the appeal form. The form can be submitted regular mail or emailed.

Fines accessed $75.00.

Sincerely,
Emily E. Prine
Program Specialist/Financial Disclosure
850-488-7864
Florida Commission on Ethics
Post Office Drawer 15709
Tallahassee, FL 32317-5709

From: Hughens Dolisca <Hughens.Dolisca@myfloridalegal.com>
Sent: Friday, September 06, 2019 8:53 AM
To: COE-Form1 <ceform1@leg.state.fl.us>
Subject: Hughens Dolisca Financial Disclosure Form

Please find enclosed the financial disclosure form.

Thank you,

Hughens Dolisca
Assistant Attorney General
Office of the Attorney General
Consumer Protection Division
1515 North Flagler Drive, Suite 900
West Palm Beach, Florida 33401
Office: (561) 837-5007
Email: Hughens.Dolisca@myfloridalegal.com
Mail Piece Details

Recipient Address

HUGHENS DOLISCA
137 PONCE DE LEON ST
ROYAL PALM BEACH, FL 33411-1212

Record / Case Number:
257104

Return Address

STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709

Entry Point ZIP:
32317

Mail Piece Information

Tracking Number: 92148901066154000140809842
Date Created: 07/23/2019 02:11:24 PM
Mail Class: USPS First Class Mail
Special Services: Certified Mail
Memo: --
Created By: Kimberly Holmes - Commission on Ethics

Tracking Information

Mailed, July 23, 2019, 02:11:24 PM, TALLAHASSEE,FL 32317
Reminder To Schedule Redelivery Of Your Item
Pre-Shipmen Info Sent To Usps, Usps Awaiting Item, July 23, 2019, 12:00:00 AM
Pre-Shipmen Info Sent Usps Awaits Item, July 23, 2019, 01:36:00 PM, TALLAHASSEE,FL 32317

Accepted At Usps Origin Facility, July 24, 2019, 05:39:00 PM, TALLAHASSEE,FL 32317
Origin Acceptance, July 24, 2019, 05:39:00 PM, TALLAHASSEE,FL 32317
Arrived At Usps Regional Origin Facility, July 24, 2019, 06:54:00 PM
Processed Through Usps Facility, July 24, 2019, 06:54:00 PM, TALLAHASSEE,FL 32301
Departed Usps Regional Facility, July 24, 2019, 09:33:00 PM
Depart Usps Facility, July 24, 2019, 09:33:00 PM, TALLAHASSEE,FL 32301
In Transit, Arriving On Time, July 25, 2019, 12:00:00 AM
Arrived At Usps Regional Destination Facility, July 26, 2019, 10:06:00 AM
Processed Through Usps Facility, July 26, 2019, 10:06:00 AM, WEST PALM BEACH,FL 33416
Departed Usps Regional Destination Facility, July 26, 2019, 06:50:00 PM
Processed Through Usps Facility, July 26, 2019, 06:50:00 PM, WEST PALM BEACH,FL 33416
In Transit To Next Facility, July 27, 2019, 12:00:00 AM
Notice Left (No Authorized Recipient Available), July 27, 2019, 03:02:00 PM, WEST PALM BEACH,FL 33411
No Authorized Recipient Available, July 27, 2019, 03:02:00 PM, WEST PALM BEACH,FL 33411
Reminder To Schedule Redelivery, August 01, 2019, 04:52:00 AM, WEST PALM BEACH,FL 33411
Package Return Notice Generated, August 11, 2019, 04:22:00 AM, WEST PALM BEACH,FL 33411

Unclaimed/Being Returned To Sender, August 15, 2019, 03:57:00 PM, WEST PALM BEACH,FL 33411
Unclaimed/Being Returned To Sender, August 15, 2019, 03:57:00 PM, WEST PALM BEACH,FL 33411
Departed Usps Regional Destination Facility, August 15, 2019, 08:23:00 PM
Processed Through Usps Facility, August 15, 2019, 08:23:00 PM, WEST PALM BEACH,FL 33416
In Transit To Next Facility, August 16, 2019, 12:00:00 AM
Departed Usps Regional Destination Facility, August 16, 2019, 04:48:00 PM
Processed Through Usps Facility, August 16, 2019, 04:48:00 PM, WEST PALM BEACH,FL 33416
In Transit To Next Facility, August 17, 2019, 12:00:00 AM
In Transit To Next Facility, August 18, 2019, 12:00:00 AM
In Transit To Next Facility, August 19, 2019, 12:00:00 AM
In Transit To Next Facility, August 20, 2019, 12:00:00 AM
Unclaimed/Being Returned To Sender, August 24, 2019, 05:27:00 PM, WEST PALM BEACH,FL 33411
Unclaimed/Being Returned To Sender, August 24, 2019, 05:27:00 PM, WEST PALM BEACH,FL 33411
Arrived At Usps Regional Destination Facility, August 28, 2019, 10:11:00 AM
Processed Through Usps Facility, August 28, 2019, 10:11:00 AM, MIAMI,FL 33152
In Transit To Next Facility, August 29, 2019, 12:00:00 AM
In Transit To Next Facility, August 30, 2019, 12:00:00 AM
Arrived At Usps Regional Origin Facility, August 30, 2019, 04:40:00 PM
Processed Through Usps Facility, August 30, 2019, 04:40:00 PM, TALLAHASSEE,FL 32301
Departed Usps Regional Origin Facility, August 30, 2019, 09:36:00 PM
Processed Through Ups Facility, August 30, 2019, 09:36:00 PM, TALLAHASSEE,FL 32301
In Transit To Next Facility, August 31, 2019, 12:00:00 AM
In Transit To Next Facility, September 01, 2019, 12:00:00 AM
In Transit To Next Facility, September 02, 2019, 12:00:00 AM
Unable To Deliver Item, Problem With Address, September 03, 2019, 10:45:00 AM, TALLAHASSEE,FL 32308
Unable To Deliver Problem With Address, September 03, 2019, 10:45:00 AM, TALLAHASSEE,FL 32308
**FORM 1** STATEMENT OF FINANCIAL INTERESTS 2018

**LAST NAME – FIRST NAME – MIDDLE NAME:**
Dolisca Hughens

**MAILING ADDRESS:**
137 Ponce De Leon

**CITY:**
Royal Palm Beach  
**ZIP:** 33411  
**COUNTY:** Palm Beach

**NAME OF AGENCY:**
Office of Financial Regulation

**NAME OF OFFICE OR POSITION HELD OR SOUGHT:**
Assistant General Counsel

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

**CHECK ONLY IF**

[ ] CANDIDATE  
[ ] NEW EMPLOYEE OR APPOINTEE

******* BOTH PARTS OF THIS SECTION MUST BE COMPLETED *******

**DISCLOSURE PERIOD:**
THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR THE PRECEDING TAX YEAR, WHETHER BASED ON A CALENDAR YEAR OR ON A FISCAL YEAR. PLEASE STATE BELOW WHETHER THIS STATEMENT IS FOR THE PRECEDING TAX YEAR ENDING EITHER (must check one):

[ ] DECEMBER 31, 2018  
[ ] SPECIFY TAX YEAR IF OTHER THAN THE CALENDAR YEAR:__________

**MANNER OF CALCULATING REPORTABLE INTERESTS:**
FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

[ ] COMPARATIVE (PERCENTAGE) THRESHOLDS  
[ ] DOLLAR VALUE THRESHOLDS

**PART A – PRIMARY SOURCES OF INCOME** [Major sources of income to the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

<table>
<thead>
<tr>
<th>NAME OF SOURCE OF INCOME</th>
<th>SOURCE'S ADDRESS</th>
<th>DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Office of Financial Regulation</td>
<td>200 E. Gaines Street, Tallahassee, FL</td>
<td>Legal Services</td>
</tr>
</tbody>
</table>

**PART B – SECONDARY SOURCES OF INCOME**
[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>NAME OF MAJOR SOURCES OF BUSINESS' INCOME</th>
<th>ADDRESS OF SOURCE</th>
<th>PRINCIPAL BUSINESS ACTIVITY OF SOURCE</th>
</tr>
</thead>
<tbody>
<tr>
<td>n/a</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**PART C – REAL PROPERTY** [Land, buildings owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

| FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2. |
| INSTRUCTIONS on who must file this form and how to fill it out begin on page 3. |
PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions]  
(If you have nothing to report, write "none" or "n/a")

<table>
<thead>
<tr>
<th>TYPE OF INTANGIBLE</th>
<th>BUSINESS ENTITY TO WHICH THE PROPERTY RELATES</th>
</tr>
</thead>
<tbody>
<tr>
<td>n/a</td>
<td></td>
</tr>
</tbody>
</table>

PART E — LIABILITIES [Major debts - See instructions]  
(If you have nothing to report, write "none" or "n/a")

<table>
<thead>
<tr>
<th>NAME OF CREDITOR</th>
<th>ADDRESS OF CREDITOR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fed Loan Servicing</td>
<td>P.O. Box 69184, Harrisburg, PA 17106-9184</td>
</tr>
</tbody>
</table>

PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions]  
(If you have nothing to report, write "none" or "n/a")

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>BUSINESS ENTITY # 1</th>
<th>BUSINESS ENTITY # 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>n/a</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

ADDRESS OF BUSINESS ENTITY
PRINCIPAL BUSINESS ACTIVITY
POSITION HELD WITH ENTITY
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS
NATURE OF MY OWNERSHIP INTEREST

PART G — TRAINING
For elected municipal officers required to complete annual ethics training pursuant to section 112.3142, F.S.

☐ I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐

SIGNATURE OF FILER:

Signature: Hughens Dolisca

Date Signed: 09/06/2019

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, ____________________________, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: ____________________________

Date Signed: ____________________________

FILING INSTRUCTIONS:

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics, it will be returned.

State officers or specified state employees who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format) and send it to CEForm1@leg.state.fl.us. Do not file by both mail and email. Choose only one filing method. Form 1 will not be accepted via email.

Candidates file this form together with their filing papers.

MULTIPLE FILING UNNECESSARY: A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

WHEN TO FILE: Initially, each local officer/employee, state officer, and specified state employee must file within 30 days of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

Candidates must file at the same time they file their qualifying papers.

Thereafter, file by July 1 following each calendar year in which they hold their positions.

Finally, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2018.
BEFORE THE  
STATE OF FLORIDA  
COMMISSION ON ETHICS

In re Hughens Dolisca  
Assistant General Counsel  
Employees  
Department Of Financial Services  

PID#: 257104

NOTICE OF ASSESSMENT OF AUTOMATIC FINE

The Commission on Ethics hereby gives notice of an assessment of a fine against you pursuant to Section 112.3145(8)(g), Florida Statutes, due to your failure to timely file your 2018 CE Form 1, Statement Of Financial Interests. Under the law, your 2018 CE Form 1, Statement of Financial Interests, was due by July 1, 2019. The law provided for a penalty-free grace period extending the due date to September 3, 2019. After that date, you accrued fines of $25.00 per day for each day your financial disclosure was late, pursuant to Section 112.3145(8)(g), Florida Statutes.

Inasmuch as your 2018 CE Form 1 was filed September 6, 2019 with the Commission on Ethics, you are fined the amount of $75.00 ($25.00 per day for 3 day(s) late). This fine must be paid to the Commission on Ethics within 30 days of the date of this notice unless you appeal the fine to the Commission. The Commission has the authority to consider the appeal and waive the fine in whole or in part if your failure to file on time was due to "unusual circumstances" surrounding the failure to file.

HOW TO APPEAL

1. Read these instructions carefully before submitting your appeal.

2. LEGAL AUTHORITY: Appeals are governed by Section 112.3145(8)(g)3, Florida Statutes, and Commission Rule 34-8.215, Florida Administrative Code.

3. FORMAT: Your appeal must be in writing and mailed to Florida Commission on Ethics, P. O. Drawer 15709, Tallahassee, FL 32317-5709, or delivered to Florida Commission on Ethics, 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. The appeal may take the form of a letter or you may use the appeal form included in this mailing. The appeal form also is available at the Commission's website: www.ethics.state.fl.us. Click on "Financial Disclosure" and then the link to the sample appeal form.

4. DUE DATE: Your appeal must be received by the Commission on Ethics on or before February 24, 2020. NOTE: Failure to timely file an appeal will constitute a waiver of your right to appeal and will result in the entry of a default order against you.

5. UNUSUAL CIRCUMSTANCES: An appeal must demonstrate that you submitted your CE Form 1 after the extended due date because of "unusual circumstances." "Unusual circumstances" is defined in Commission Rule 34-8.215(4), Florida Administrative Code, as "uncommon, rare, or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements." Therefore, circumstances that allowed for time to take steps necessary to file on time do not constitute "unusual circumstances" that will allow the Commission to waive the fine. You have the burden to establish "unusual circumstances." Your appeal must specifically state the circumstances that led to your not filing by September 3, 2019, and must include any documentation or evidence supporting your appeal, such as:
   a. SICKNESS/INJURY: a statement from attending physician, including dates and nature of the illness or injury;
   b. LACK OF NOTICE (WRONG ADDRESS): documentation that you did not reside at the address to which notice was sent;
   c. LACK OF NOTICE (ABSENCE FROM HOME): documentation establishing the period of time of your absence covering the notification period;
d. **CLAIM OF TIMELY FILING OF FINANCIAL DISCLOSURE:** (1) an affidavit from you attesting under oath or affirmation that you filed your financial disclosure and your recollection of when and how you filed and (2) a copy of a certified mail receipt and/or a copy of the completed form which was filed. If you have witnesses to your filing, we also will need an affidavit from each witness. **NOTE:** A claim of having filed the CE Form 1F for the current year does not satisfy the CE Form 1 filing requirement or excuse a late filing;

e. **LEFT PUBLIC POSITION BEFORE DECEMBER 31, 2018:** confirmation of your last date of office or employment by your former agency, showing the last date to be before December 31, 2018; or

f. **UNCLAIMED CERTIFIED MAIL:** if delinquency notice was addressed correctly but not received, you must explain why.

6. **YOUR RIGHT TO A HEARING:** You have the right to have your appeal heard by the Commission and to appear before the Commission at the hearing, but, to exercise this right, you must specifically request a hearing in your appeal. If you do not request a hearing, you will waive your right to a hearing, the Commission will determine the outcome of your appeal based upon the written record (including the documentation you provide and any documentation in your case file), and you will receive no further notice until after the Commission decides your appeal.

**FAILURE TO PAY FINE OR FILE APPEAL WITHIN 30 DAYS**

If you do not timely file an appeal or pay the assessed fine within 30 days of this Notice, a default order will be entered against you and the Commission will take the steps provided by law to collect the fine, including:

- Referral to the CFO of the Department of Financial Services, if you are a salaried state officer or employee, for withholding of a portion of you salary until the fine is satisfied; or
- Referral to your agency's governing body for withholding of a portion of your salary until the fine is satisfied;
- Referral to a collection agency, which can seek garnishment of your wages; and/or
- An additional civil penalty, not limited by this automatic fine, may be imposed if your disclosure statement is filed more than 60 days late and a complaint is filed against you pursuant to Section 112.324, Florida Statutes.

Please contact our office if you have any questions about this matter.

**CERTIFICATE OF MAILING**

I certify that a copy of the foregoing Notice of Assessment of Automatic Fine was furnished to:

Hughens Dolisca  
137 Ponce De Leon Street  
Royal Palm Beach, FL 33411

by Certified Mail on this Thursday, January 23, 2020.

[Signature]

KIMBERLY R. HOLMES  
Program Administrator  
Florida Commission on Ethics  
P. O. Drawer 15709 -or- 325 John Knox Road, Building E, Ste. 200  
Tallahassee, FL 32317-5709  
Tallahassee, FL 32303

Tel.: (850) 488-7864  
Fax: (850) 488-3077  
Email: disclosure@leg.state.fl.us
The filer has fines for: 2019 (Appeal)

### 2019 Fines and Appeals

<table>
<thead>
<tr>
<th>Received Date</th>
<th>Form Type</th>
<th>Form Signed</th>
<th>Filed by Email</th>
<th>Filing Location</th>
<th>Updated</th>
<th>Comments</th>
</tr>
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<tbody>
<tr>
<td>09/06/19</td>
<td>Form 1</td>
<td>Yes</td>
<td>Yes</td>
<td>COE</td>
<td></td>
<td>PRINEd on 09/06/2019</td>
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#### 2019 Fine Information

<table>
<thead>
<tr>
<th>Fine Balance</th>
<th>Fine Status</th>
<th>Fine Date</th>
<th>Original Assessment</th>
<th>Fine Amount</th>
<th>Last Payment Date</th>
<th>Payment Plan Start Date</th>
<th>Payment Plan Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>$75.00</td>
<td>Appeal</td>
<td>1/15/2020</td>
<td>$75.00</td>
<td>$75.00</td>
<td></td>
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</table>

Fine Address: 137 Ponce De Leon Street Royal Palm Beach FL 33411  
Org/Suborg: Financial Services, Department Of-Employees

#### 2019 Fine Payment History

<table>
<thead>
<tr>
<th>Date Posted</th>
<th>Description</th>
<th>Amount</th>
<th>Method</th>
<th>Payment ID</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/15/2020</td>
<td>Fine Levied</td>
<td>+$75.00</td>
<td></td>
<td></td>
<td>Fined $75.00</td>
</tr>
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</table>

Current Balance: $75.00

#### 2019 Fine Year Event

<table>
<thead>
<tr>
<th>Date</th>
<th>Type</th>
<th>Description</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>05/15/2019</td>
<td>Letter Sent</td>
<td>Form 1 Official List - Form 1 Official Filers List</td>
<td>Print</td>
</tr>
</tbody>
</table>

Queue: 5/15/2019 1:12 PM  
Printing Confirmed:
Letter Sent To:
Hughens Dolisca
137 Ponce De Leon Street
Royal Palm Beach, FL 33411

07/24/2019 Letter Sent Certified Letter Sent
Print Queue: 7/24/2019
Printing Confirmed: 7/24/2019

Letter Sent To:
Hughens Dolisca
137 Ponce De Leon Street
Royal Palm Beach, FL 33411

08/20/2019 Postcard Sent Courtesy Postcard Reminder
Print Queue: 8/20/2019
Printing Confirmed: 8/20/2019

Letter Sent To:
Hughens Dolisca
137 Ponce De Leon Street
Royal Palm Beach, FL 33411

09/3/2019 Filer Certified returned unclaimed
Emily Prine
Communication:
Letter

09/5/2019 Filer 99744 Mail Address: Florida Office of the Attorney General 1515 N Flagler Dr Ste 900 West Palm Beach, FL 33401-3432 United States Office: 561-837-5007 Email: hughens.dolisca@myfloridalegal.com Personal Bar URL: https://www.floridabar.org/mybarprofile/99744 vCard: County: Palm Beach Circuit: 15 Admitted: 10/03/2012 10-Year Discipline History: None
Emily Prine
Communication:
Other

09/5/2019 Filer From: Prine.Emerly Sent: Thursday, September 05, 2019 9:30 AM To: 
Email 'hughens.dolisca@myfloridalegal.com' Subject: Financial Disclosure DELINQUENT Importance: High Based off your service with Department of Financial
Services office you were required to file a Form 1 2018 by July 1, 2019. The certified mailing we sent you was unclaimed. A grace period was given until September 3, 2019. To date we have not received your form. You are now being accessed fines at $25.00 per day up to $1500.00. I have attached the required form for completion. Form can be scanned and emailed to ceform1@leg.state.fl.us as PDF document. If you have any questions, please let me know.

09/5/2019 Filer
Communication: From: Microsoft Outlook
Email: Sent: Thursday, September 05, 2019 9:50 AM
To: Prine. Emily
Subject: Relayed: Financial Disclosure DELINQUENT
Delivery to these recipients or groups is complete, but no delivery notification was sent by the destination server:
Hughens.dolisca@myfloridalegal.com
(hughens.dolisca@myfloridalegal.com)
Subject: Financial Disclosure DELINQUENT

09/6/2019 Form Received
Form 1 Received, Signed

Form Received By: Emily Prine
Filing Location: COE
Record Created By: Emily Prine on 09/06/2019

09/6/2019 Filer
Communication: Said going to email form today/late because of hurricane/explained he could appeal once fine is levied
Phone

09/6/2019 Filer
Communication: From: Hughens Dolisca
Email: Sent: Friday, September 06, 2019 8:53 AM
To: COE-Form1
Subject: Hughens Dolisca Financial Disclosure Form Please find enclosed the financial disclosure form. Thank you,
Hughens Dolisca Assistant Attorney General Office of the Attorney General Consumer Protection
Division 1515 North Flagler Drive, Suite 900 West Palm Beach, Florida 33401 Office: (561) 837-5007
Email: Hughens.Dolisca@myfloridalegal.com

09/6/2019 Filer
Communication: From: COE-Form1
Email: Sent: Friday, September 06, 2019 9:22 AM
To: 'Hughens Dolisca' Subject: RE: Hughens Dolisca Financial Disclosure Form Attached is the appeal form. The form can be submitted regular
mail or emailed. Fines accessed $75.00.

From: COE-Form1 Sent: Friday, September 06, 2019 10:44 AM
To: disclosure Cc: ‘Hughens Dolisca’
Subject: FW: Hughens Dolisca Financial Disclosure Form Appeal was received. The appeal process can be lengthy and takes a significant amount of time for a determination to be reached. If during the process, you would like to check the status or have questions, please email disclosure@leg.state.fl.us
You will be notified in writing once a determination is made. Thank you! Sincerely, Emily E. Prine
Program Specialist/Financial Disclosure 850-488-7864 Florida Commission on Ethics Post Office Drawer 15709 Tallahassee, FL 32317-5709

Hughens Dolisca Sent: Friday, September 06, 2019 10:38 AM
To: COE-Form1 Subject: RE: Hughens Dolisca Financial Disclosure Form Please find enclosed the Fine Appeal form. Thank you, Hughens Dolisca Assistant Attorney General Office of the Attorney General Consumer Protection Division 1515 North Flagler Drive, Suite 900 West Palm Beach, Florida 33401 Office: (561) 837-5007 Email: Hughens.Dolisca@myfloridalegal.com

01/15/2020 Fine Levied Fined $75.00
Journal: 1/15/2020
9:29 AM

01/15/2020 Notice of Assessed Fine Initial Fine Notice
Journal: 1/15/2020
9:32 AM

01/23/2020 Fine Appeal FD 19-002
Journal: 1/23/2020
8:49 AM

01/23/2020 Letter Sent Notice of Assessed Fine - Filer 1st Fine Letter
Print Queue: 1/23/2020
Printing Confirmed: 1/23/2020

Letter Sent To:
Hughens Dolisca
137 Ponce De Leon Street
Royal Palm Beach, FL 33411

01/23/2020 Letter Sent Fine Appeal Print
Letter Sent To:
Hughens Dolisca
137 Ponce De Leon Street
Royal Palm Beach, FL 33411

01/23/2020 Filer Notice of Assessed Fine - Filer 1st Fine Letter Kim Holmes
Communication: mailed with appeal acknowledgement letter by regular mail due to receipt of early appeal.

<table>
<thead>
<tr>
<th>2019 Fine Appeal — FD 19-002</th>
<th>Update Appeal</th>
<th>Withdraw Appeal</th>
<th>Assign Attorney</th>
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<tbody>
<tr>
<td>Appeal Status: Active</td>
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<tr>
<td>Appeal Receipt Date: 09/06/2019</td>
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<td>Timely Filed: Yes</td>
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<td>Print Appeal Letter: Yes</td>
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<td>Hearing Requested: No</td>
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<td>Appeal Reason: Other</td>
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<td>Appeal Notes:</td>
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<td>Appeal Number: FD 19-002</td>
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<td>Appeal Analyst Assigned:</td>
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<td>Final Order Number:</td>
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<td>Final Order Date:</td>
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<tr>
<td>No Hearing Requested</td>
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